

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 03-02503

Eugene F. Mauck III, Claimant vs. Jon Garcia, Respondent.

ATTORNEYS:

Claimant appeared pro se, Fairfax, VA.

Respondent appeared pro se, Washington, DC.

DATE FILED: April 4, 2003

CASE SUMMARY: Claimant alleged that Respondent failed to pay him earned commission income for a variable life policy processed and issued February 9, 2002. Claimant maintained that due to Respondent's actions, he suffered financial losses.

Claim Data

Claim: \$15,880.71

Interest: \$952.84

Attorney Fees: \$400.00

Filing Fees: \$425.00

Award Data

Award: \$.00

Interest: \$.00

Attorney Fees: \$.00

Filing Fees: \$212.50

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 6) Respondent is liable and shall pay Claimant \$212.50 as reimbursement of one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Dulles Capital Group, Inc. has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

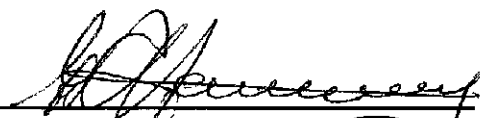
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Award 03-02503

George R. Hannaway

Sole Non-Public Arbitrator

AFFIRMATION

I, George R. Hannaway , do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


George R. Hannaway

9-11-03
Signature Date

September 17, 2003
Date of Service (For NASD-DR office use only)