

**NASD DISPUTE RESOLUTION AWARD**

**NASD DISPUTE RESOLUTION**

CASE: 03-02774

Robert E. Washington, Claimant v. Quest Capital Strategies, Inc. and David Chen Yu, Respondents.

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**ATTORNEYS:**

Claimant, Robert E. Washington, ("Claimant"), appeared pro se, Washington, DC.

For Respondents, Quest Capital Strategies, Inc. and David Chen Yu, ("Respondents"), appeared David Bolton, Esq., of the Law Offices of David Bolton, Esq., Garden City, NY.

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**NATURE OF DISPUTE:** Associated Person v. Member and Associated Person

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**DATE FILED:** April 15, 2003

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**CASE SUMMARY:** Claimant alleged that Respondents failed to pay him owed commissions for the period of November 2001 to December 2002 pursuant to an Independent Contract Agreement. Claimant maintained that due to Respondents' action, he suffered financial losses.

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**Claim Data**

Claim: \$90.22  
Punitive Damages: \$899.78  
Filing Fees: Unspecified  
Other: Unspecified

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**Award Data**

Award: \$.00  
Punitive Damages: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$50.00 filing fee that the Claimant deposited previously.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent Quest Capital Strategies, Inc. has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

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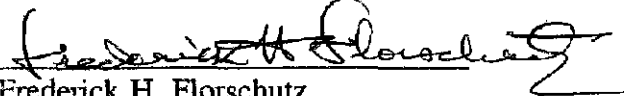
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Award 03-02774

**ARBITRATOR**

Frederick H. Florschutz - Sole Non-Public Arbitrator

**AFFIRMATION**

I, Frederick H. Florschutz, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Frederick H. Florschutz

March 8, 2004  
Signature Date

March 23, 2004  
Date of Service (For NASD-DR office use only)