

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 03-03069

Bernard Venable, Claimant vs. T. Kenley Jones, Respondent.

ATTORNEYS:

Claimant, Bernard Venable, ("Claimant"), appeared pro se, LaFayette, LA.

Respondent, T. Kenley Jones, ("Respondent"), did not respond to the Statement of Claim.

DATE FILED: April 25, 2003

CASE SUMMARY: Claimant alleged that Respondent has failed to repay him an amount owed for commissions he received on a mutual fund case with the agreement to repay him with commissions from a life insurance case that he (Respondent) was working on. Claimant maintained that due to Respondent's actions, he suffered financial losses.

Claim Data

Claim: \$4,090.47

Interest: \$500.00

Punitive: \$234.53

Filing Fees: \$175.00

Award Data

Award: \$4,090.47

Interest: At the rate of 6% per annum
from 4/12/03 to date of
payment of award.

Punitive: \$.00

Filing Fees: \$175.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$4,090.47. 2) Respondent is liable and shall pay to the Claimant interest at the rate of 6% per annum from April 21, 2003 to date of payment of award. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The \$175.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 6) Respondent is liable and shall pay Claimant \$175.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, MetLife Securities, Inc. has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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Award 03-03069

Robert R. Wolf

Sole Non-Public Arbitrator

AFFIRMATION

I, Robert R. Wolf, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Robert R. Wolf

10/1/03
Signature Date

October 3, 2003
Date of Service (For NASD-DR office use only)