

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 03-03122

Jean B. Young, Claimant vs. Sentra Securities Corp., SunAmerica Securities, Inc., American International Group, Clyde M. Thornburg and Ronald M. Cherry, Respondents.

ATTORNEYS:

Claimant, Jean B. Young, ("Claimant"), appeared pro se, Sarasota, FL.

For Respondents, Sentra Securities Corp., SunAmerica, Inc., American International Group, Clyde M. Thornburg, and Ronald M. Cherry, (collectively "Respondents"), appeared Barry R. Temkin, Esq., of the Law Offices of Jacobowitz, Garfinkel, and Lesman, New York, NY.

DATE FILED: April 29, 2003

CASE SUMMARY: Claimant alleged that Respondents misrepresented facts about fees, costs, and yields. Claimant further alleged that Respondents engaged in unauthorized trading, omitted and misrepresented facts to her regarding the purchase of Halliburton Corporate Bond, Pioneer and Calvert Income Fund, RIMCO Value Fund, and Lord Abbett Midcap Value Funds in her account. Claimant maintained that due to Respondents' actions, the account suffered losses.

Claim Data

Claim: \$10,000.00
Interest: \$3,451.50
Filing Fees: \$325.00
Other: unspecified

Award Data

Award: \$3,000.00
Interest: \$.00
Filing Fees: \$162.50
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant against Ronald M. Cherry are dismissed in their entirety. 2) Respondents are jointly and severally liable and shall pay to the Claimant \$3,000.00. 3) All requests for attorney fees are denied. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) The \$325.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 7) Respondents are jointly and severally liable and shall pay Claimant \$162.50 as reimbursement of one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondents, Sentra Securities Corp. and SunAmerica Securities Inc. have paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

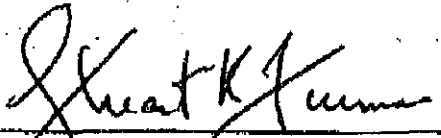
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Award 03-03122

Stuart K. Furman

Sole Public Arbitrator

AFFIRMATION

I, Stuart K. Furman, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Stuart K. Furman

10-3-03
Signature Date

October 22, 2003
Date of Service (For NASD-DR office use only)