

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 03-05139

Julia A. Strong, Claimant v. Patricia Ruth Huelskoketter and Commerce Brokerage Services, Inc.,  
Respondents.

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**ATTORNEYS:**

Claimant, Julia A. Strong, ("Claimant"), appeared pro se, St. Louis, MO.

For Respondents, Patricia Ruth Huelskoketter and Commerce Brokerage Services, Inc.,  
(collectively "Respondents"), appeared M. Courtney Koger, Esq., of the firm Sanders Conkright  
& Warren LLP., Overland Park, KS.

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**NATURE OF DISPUTE:** Customer v. Member and Associated Person

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**DATE FILED:** July 14, 2003

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**CASE SUMMARY:** Claimant alleged that Respondents were negligent, misrepresented and  
omitted information regarding her investments, and recommended unsuitable stock. Claimant  
further alleged that Respondent Commerce Brokerage Services, Inc. failed to supervise its  
representative, Patricia Ruth Huelskoketter. Claimant's claim involved mutual funds, "Fannie  
Maes", and "Freddie Macs" stock. Claimant maintained that due to Respondents' action, her IRA  
and mutual fund accounts suffered financial losses.

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**Claim Data**

Claim: \$23,000.00

Other: Unspecified

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**Award Data**

Award: \$.00

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of  
the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed  
in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee was previously  
waived by NASD Dispute Resolution. 4) Respondent Commerce Brokerage Services, Inc. shall  
pay to NASD Dispute Resolution \$425.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent Commerce Brokerage Services,  
Inc. has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Award 03-05139

H. Todd Iveson, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, H. Todd Iveson, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
H. Todd Iveson, Esq.

12/17/03  
Signature Date

December 19, 2003

Date of Service (For NASD-DR office use only)