

NASD DISPUTE RESOLUTION AWARD

NASD DISPUTE RESOLUTION

CASE: 03-05387

Anne and George Gold, TTES, Claimants v. Dreyfus Service Corporation, Respondent.

ATTORNEYS:

For Claimants, Anne and George Gold, TTEES, ("Claimants"), appeared Gregory Tendrich, P.A., of the Law Offices of Gregory P. Tendrich, P.A., Boynton Beach, FL.

For Respondent, Dreyfus Service Corporation, ("Respondent"), appeared Jayme L. Butcher, Esq., of the firm Reed Smith LLP, Pittsburgh, PA.

NATURE OF DISPUTE: Customers v. Member

DATE FILED: July 22, 2003

CASE SUMMARY: Claimant alleged that Respondent misrepresented and omitted material fact about unsuitable Dreyfus Premier Technolongy Growth Fund ("DTGBX"). Claimant maintained that due to Respondent's action, their trust account suffered financial losses.

Claim Data

Claim: \$25,000.00
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$8,000.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$212.50
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimants \$8,000.00. 2) All requests for interest are denied. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay to the Claimant \$212.50 as reimbursement of one-half of the filing fee.

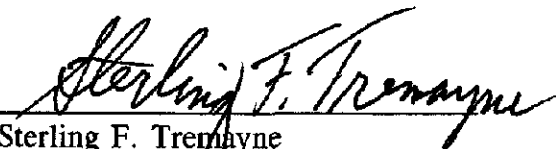
OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

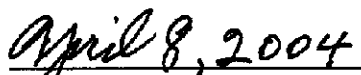
ARBITRATOR

Sterling F. Tremayne - Sole Public Arbitrator

AFFIRMATION

I, Sterling F. Tremayne, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Sterling F. Tremayne


Signature Date

April 21, 2004
Date of Service (For NASD-DR office use only)