

Award
NASD Dispute Resolution

In the Matter of the Arbitration Between:

Name of the Claimant
Eva E. Hasher

Case Number: 04-00405

Name of the Respondent
Greater Metropolitan Investment Services

Hearing Site: Philadelphia, Pennsylvania

Nature of the Dispute: Customer vs. Member.

REPRESENTATION OF PARTIES

Claimant, Eva E. Hasher, hereinafter referred to as "Claimant", was represented by Jeffrey D. Horn, Esq., Attorney at Law, Williamstown, New Jersey.

Respondent, Greater Metropolitan Investment Services, hereinafter referred to as "Respondent", was represented by Susan B. Kalib, Esq., Carter Ledyard & Milburn, LLP, New York, New York.

CASE INFORMATION

Statement of Claim filed on January 12, 2004.

Claimant signed the Uniform Submission Agreement on May 23, 2003.

Statement of Answer filed by Respondent on February 23, 2004.

A representative of Respondent executed the Uniform Submission Agreement on April 8, 2004.

CASE SUMMARY

Claimant, in her Statement of Claim, asserted a cause of action for failure to manage Claimant's account according to her expectations. The cause of action relates to the purchase and sale of unspecified securities.

Unless specifically admitted in its Answer, Respondent denied the allegations made in the Statement of Claim.

RELIEF REQUESTED

Claimant in her Statement of Claim requested:

Compensatory Damages	\$ 22,000.00
Attorneys' Fees	amount unspecified
Other Costs	amount unspecified

OTHER ISSUES CONSIDERED AND DECIDED

The parties have agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered.

AWARD

After considering the pleadings, the testimony and evidence presented at the hearing, the Arbitrator has decided in full and final resolution of the issues submitted for determination as follows:

1. Claimant's claims are denied in their entirety;
2. All claims for attorneys' fees are denied in their entirety;
3. The parties shall bear their respective costs, including attorneys' fees, except as Fees are specifically addressed below; and,
4. Any and all relief not specifically addressed herein is denied in its entirety.

FEES

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee	= \$ 125.00
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Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firms that employed the associated person(s) at the time of the events giving rise to the dispute. Accordingly, Greater Metropolitan Investment Services is a party.

Member surcharge	= \$ 425.00
Total Member Fees	= \$ 425.00

Adjournment Fees

Adjournments granted during these proceedings for which fees were assessed:

October 18, 2004, adjournment by the parties to mediate	= waived by NASD
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Forum Fees and Assessments

The Arbitrator has assessed forum fees for each session conducted. A session is any meeting between the parties and the arbitrator(s), including a pre-hearing conference with the arbitrator(s), that lasts four (4) hours or less. Fees associated with these proceedings are:

One (1) Pre-hearing session with a single arbitrator @ \$ 450 = \$ 450.00
Pre-hearing conference: May 10, 2004 1 session

Two (2) Hearing sessions @ \$ 450 = \$ 900.00

Hearing Date: May 4, 2005 2 sessions

Total Forum Fees = \$ 1,350.00

1. The Arbitrator has assessed \$ 675.00 of the forum fees to Claimant..
2. The Arbitrator has assessed \$ 675.00 of the forum fees to Respondent.

FEE SUMMARY

1. Claimant is assessed and shall pay:

Initial Filing Fee	= \$ 125.00
Forum Fees	= \$ 675.00
Total Fees	= \$ 800.00
Less payments	= \$ 575.00
Balance Due NASD Dispute Resolution	= \$ 225.00

2. Respondent is assessed and shall pay:

Member Fees	= \$ 425.00
Forum Fees	= \$ 675.00
Total Fees	= \$ 1,100.00
Less payments	= \$ 925.00
Balance Due NASD Dispute Resolution	= \$ 175.00

All balances are payable to NASD Dispute Resolution and are due upon receipt pursuant to Rule 10330(g) of the Code.

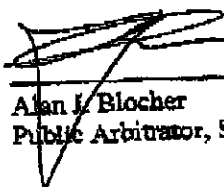
SOLE ARBITRATOR

Alan J. Blocher

- Public Arbitrator, Sole Arbitrator

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Sole Arbitrator's Signature


Alan J. Blocher
Public Arbitrator, Sole Arbitrator

5/6/05
Signature Date

May 6, 2005
Date of Service (For NASD Dispute Resolution office use only)