

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-00535

Timothy M. Hyland, Claimant vs. Matthew Meister, Respondent

ATTORNEYS:

Claimant, Timothy Hyland, ("Claimant") appeared *pro se*, Zearing, IA.

Respondent, Matthew Meister, ("Respondent"), appeared *pro se*, Sarasota, FL.

NATURE OF DISPUTE: Customer vs. Associated Person

DATE FILED: January 26, 2004.

CASE SUMMARY: Claimant alleged that Respondent charged excessive commissions with respect to the trade of 1,100 CEDC stocks.

Claim Data

Claim: \$460.00
Punitive: \$1,380.00

Award Data

Award: \$230.00
Punitive: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$230.00. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$75.00 filing fee that the Claimant deposited previously. 5) Respondent is liable and shall pay to the Claimant \$37.50 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Kashner Davidson Securities Corp, Respondent's firm, has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

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Award 04-00535

David C. Carter

Sole Public Arbitrator

AFFIRMATION

I, David C. Carter, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.


David C. Carter

9-08-04
Signature Date

September 16, 2004
Date of Service (For NASD-DR office use only)