

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 04-00819

Harris Investor Services, LLC, Claimant v. Slobodan Nikolich, Respondent

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**ATTORNEYS:**

For Claimant Harris Investor Services, LLC ("Claimant") appeared Michael B. Colombo, President, Streetwide Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent Slobodan Nikolich ("Respondent") appeared *pro se*, Citra, FL.

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**NATURE OF DISPUTE:** Member v. Customer.

**DATE FILED:** February 9, 2004.

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**CASE SUMMARY:** Claimant alleged that Respondent failed to meet margin maintenance requirements with respect to the purchase of 1000 shares of Verisign stock. Claimant maintained that it had to liquidate his account leaving an unsecured debit balance.

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**Claim Data**

Claim: \$4,372.81  
Costs of Collection: Unspecified  
Filing Fees: Unspecified  
Other: Unspecified

**Award Data**

Award: \$4,372.81  
Costs of Collection: \$.00  
Filing Fees: \$525.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$4,372.81 2) All requests for costs of collection are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$525.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay to NASD Dispute Resolution forum fees in the amount of \$450.00 for the telephonic pre-hearing conference held on November 18, 2004. 7) Respondent Slobodan Nikolich is liable and shall pay Claimant \$525.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

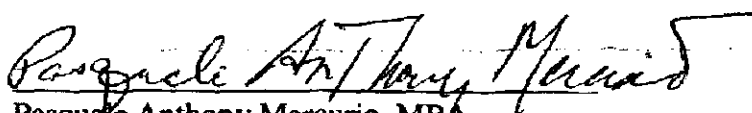
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Award 04-00819

Pasquale Anthony Mercurio, MBA

Sole Public Arbitrator

AFFIRMATION

I, Pasquale Anthony Mercurio, MBA, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Pasquale Anthony Mercurio, MBA

  
Signature Date

December 7, 2004

Date of Service (For NASD-DR office use only)