

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 04-01191

Ehrenkrantz King Nussbaum, Inc., Claimant vs. Henry Osorio, Respondent.

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**ATTORNEYS:**

For Claimant, Ehrenkrantz King Nussbaum, Inc., ("Claimant"), appeared Michael S. Colombo of the firm Streetwide Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent, Henry Osorio, ("Respondent") did not submit a response to the Statment of Claim.

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**NATURE OF DISPUTE:** Member vs. Associated Person.

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**DATE FILED:** February 23, 2004

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**CASE SUMMARY:** Claimant alleged that Respondent breached their contractual agreement and failed to repay monies owed pursuant to the terms of a signed Employment Agreement.

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**Claim Data**

Claim: \$6,419.85  
Attorney Fees: \$1,925.95  
Filing Fees: \$875.00  
Other: Unspecified

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**Award Data**

Award: \$2,000.00  
Attorney Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$2,000.00. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Judith R. MacDonald, Esq. - Sole Non-Public Arbitrator

**AFFIRMATION**

I, Judith R. MacDonald, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

Judith R. MacDonald  
Judith R. MacDonald, Esq.

Sept 14, 2004  
Signature Date

September 16, 2004  
Date of Service (For NASD-DR office use only)