

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-02016

Raymond James Financial Services, Inc., Claimant v. Robert Jenak, Respondent

ATTORNEYS:

For Claimant Raymond James Financial Services, Inc. ("Claimant") appeared Leslie A. Reese, Esq., in-house counsel, Raymond James Financial Services, Inc., St. Petersburg, FL.

Respondent Robert Jenak ("Respondent") did not file a Statement of Answer in this matter.

NATURE OF DISPUTE: Member v. Associated Person.

DATE FILED: March 25, 2004.

CASE SUMMARY: Claimant alleged that Respondent failed to repay monies owed pursuant to the terms of a promissory note. Claimant maintained that due to Respondent's actions, it suffered losses.

Claim Data

Claim: \$10, 259.00
Interest: @ 10%
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$10, 259.00
Interest: \$.00
Attorney Fees: \$993.50
Filing Fees: \$1,050.00
Other: \$90.96

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable for and shall pay to the Claimant \$10, 259.00. 2) Respondent is liable for and shall pay to Claimant attorney fees in the amount of \$993.50 in accordance with Claimant's notarized Affidavit of Costs. 3) Respondent is liable for and shall pay to Claimant other miscellaneous costs in the amount of \$90.96. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$1,050.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay Claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent was served notice of the Statement of Claim and Notification of Arbitrator by regular mail and Overdue Notice by certified mail and is therefore bound by the arbitrator's ruling and determination.

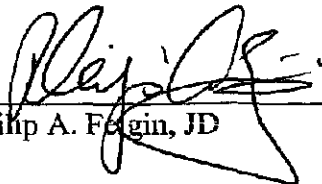
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Philip A. Feigin, JD

Sole Non-Public Arbitrator

AFFIRMATION

I, Philip A. Feigin, JD, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Philip A. Feigin, JD

1-27-05
Signature Date

February 17, 2005
Date of Service (For NASD-DR office use only)