

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-02135

Timothy S. Kuchenbecker IRA, Claimant v. Bill Gunderson and Torrey Pines Securities,
Respondents

ATTORNEYS:

Claimant Timothy S. Kuchenbecker IRA ("Claimant") appeared *pro se*, San Diego, CA.

Respondent Bill Gunderson appeared *pro se*, Escondido, CA.

For Respondent Torrey Pines Securities appeared Jack S. Smith, Torrey Pines Securities, Inc.,
San Diego, CA.

NATURE OF DISPUTE: Customer v. Member and Associated Person.

DATE FILED: March 29, 2004.

CASE SUMMARY: Claimant alleged that Respondents excessively traded in his account thereby overcharging Claimant commissions. Claimant maintained that due to Respondents' actions, the account suffered losses. Claimant's claim involved common stock.

Claim Data

Claim: \$6,000.00
Punitive: \$4,000.00

Award Data

Award: \$.00
Punitive: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Torrey Pines Securities, Inc. has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.


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Award 04-02135

William W. Huss

Sole Public Arbitrator

AFFIRMATION

I, William W. Huss, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



William W. Huss

10/18/04
Signature Date

November 2, 2004
Date of Service (For NASD-DR office use only)