

NASD DISPUTE RESOLUTION STIPULATED AWARD  
NASD DISPUTE RESOLUTION

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CASE: 04-02669

Edward Goetz, Claimant v. Edward Morawiec, Maria Morawiec, Joanna Moraweic, and Donna Morawiec Respondents.

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ATTORNEYS:

For Claimant, Edward Goetz, ("Claimant"), appeared Timothy Feil, Esq., of the firm Finkelstein & Feil LLP, Garden City, New York.

For Respondents, Edward Morawiec, Maria Moraweic, Joanna Moraweic and Donna Moraewic (collectively "Respondents") appeared Michael P. Gilmore, Esp., of the firm Sims, Moss, Kleine & Davis, LLP., Mineola, NY.

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NATURE OF DISPUTE: Associated Person v. Customers

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DATE FILED: April 8, 2004.

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CASE SUMMARY: Claimant is requesting expungement of the underlying complaint from NASD Central Registration Depository ("CRD") as maintained by the NASD captioned: Edward Morawiec, Maria Morawiec, Joanna Morawiec and Donna Morawiec v. Continental Broker-Dealer Corp., Wexford Clearing Services Corp., Heung Suh, Dominick Michael Bianco, Leon Fintz, Edward Goetz, Edward Goetz, Thomas Michael Tiernan, Jr., Gregory Mumtaz Hasho and Adolph C. Orlando, bearing NASD case no.:02-06107.

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Claim Data

Specific Performance: Expungement

Award Data

Expungement: Granted

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The arbitrator recommends the expungement of all reference to the NASD-DR Case No: 02/06107 Edward Morawiec, Maria Morawiec, Joanna Morawiec and Donna Morawiec v. Continental Broker-Dealer Corp., Wexford Clearing Services Corp., Heung Suh, Dominick Michael Bianco, Leon Fintz, Edward Goetz, Edward Goetz, Thomas Michael Tiernan, Jr., Gregory Mumtaz Hasho and Adolph C. Orlando from Claimant Edward Goetz's registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD Notices to Members 99-09 and 99-54. Claimant Goetz must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive. 2) NASD Dispute Resolution shall retain the \$1,250.00 filing fee that the claimant deposited previously.

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OTHER FEES: Pursuant to Rule 10333 of the Code, Continental Broker - Dealer Corp., the firm Claimant was associated with has not paid to NASD Dispute Resolution the \$1500.00 Member Surcharge previously invoiced.

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Award 04/02669

Parties' Signatures

\_\_\_\_\_  
Edward Gostz  
Claimant

\_\_\_\_\_  
Signature Date

\_\_\_\_\_  
Edward Morawiec  
Respondent

\_\_\_\_\_  
Signature Date

\_\_\_\_\_  
Maria Morawiec  
Respondent

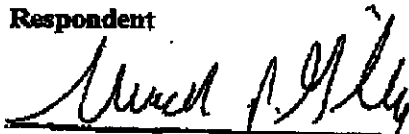
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Signature Date

\_\_\_\_\_  
Joanna Morawiec  
Respondent

\_\_\_\_\_  
Signature Date

\_\_\_\_\_  
Donna Morawiec  
Respondent

\_\_\_\_\_  
Signature Date

  
\_\_\_\_\_  
MICHAEL GILMORE, ESQ.

\_\_\_\_\_  
9/14/24

  
Robert J. Quiloff, Arbitrator

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10/14/24

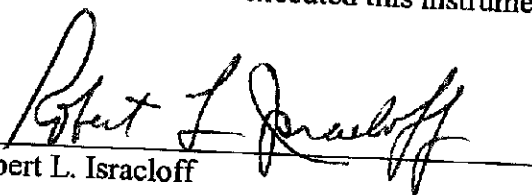
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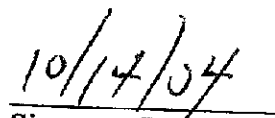
**ARBITRATOR**

Robert L. Isracloff. - Sole Public Arbitrator

**AFFIRMATION**

I, Robert L. Isracloff, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Robert L. Isracloff

  
Signature Date

October 22, 2004  
Date of Service (For NASD-DR office use only)