

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-03204

First Montauk Securities, Corp., Claimant v. Stefano Mannino, Respondent

ATTORNEYS:

For Claimant First Montauk Securities Corp. ("Claimant") appeared Michael S. Colombo, President, Streetwide Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent Stefano Mannino ("Respondent") appeared *pro se*, Brooklyn, NY.

NATURE OF DISPUTE: Member v. Associated Person.

DATE FILED: April 30, 2004.

CASE SUMMARY: Claimant alleged that Respondent failed to repay the balance owed on the Promissory Note pursuant to the terms of the Note. Claimant maintained that due to Respondent's actions, it suffered losses.

Claim Data

Claim: \$4,500.00
Collection Fees: \$1,350.00
Filing Fees: \$875.00

Award Data

Award: \$4,500.00
Collection Fees: \$0.00
Filing Fees: \$525.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable for and shall pay to Claimant \$4,500.00. 2) All requests for collection fees are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$525.00 filing fee that the Claimant deposited previously. 5) Respondent is liable and shall pay Claimant \$525.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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Award 04-03204

Mark D. Quinn, Esq. - Sole Public/Non-Public Arbitrator

AFFIRMATION

I, Mark D. Quinn, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Mark D. Quinn, Esq.

2/18/05
Signature Date

March 8, 2005

Date of Service (For NASD-DR office use only)