

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

---

**CASE:** 04-03453

Raymond James & Associates, Inc., Claimant v. Jeanne Hill, Respondent

---

**ATTORNEYS:**

For Claimant Raymond James & Associates, Inc. ("Claimant") appeared Leslie A. Reese, Esq., in-house counsel, Raymond James & Associates, Inc., St. Petersburg, FL.

For Respondent Jeanne Hill ("Respondent") appeared Natly Torres-Alvarado, Esq., of the firm Knott, Consoer, Ebelini, Hart & Swett, P.A., Ft. Myers, FL.

---

**NATURE OF DISPUTE:** Member v. Associated Person.

**DATE FILED:** May 11, 2004.

---

**CASE SUMMARY:** Claimant alleged that Respondent failed to repay monies owed pursuant to the terms of a promissory note. Claimant maintained that due to Respondent's actions, Claimant sustained losses and damages, including lost revenues and profits.

---

**Claim Data**

Injunction: From soliciting customers  
Claim: \$15,449.22  
Claim: Commissions  
Pre-judgment Interest: 10% per annum from 4/30/04 - 10/13/04  
Post-judgment Interest: Unspecified  
Attorney Fees: Unspecified  
Filing Fees: Unspecified  
Other: Unspecified

---

**Award Data**

Injunction: Denied  
Award: \$15,449.22  
Award: \$.00  
Pre-judgment Interest: 10% per annum from 4/30/04 - 10/13/04  
Post-judgment Interest: \$.00  
Attorney Fees: \$.00  
Filing Fees: \$525.00  
Other: \$.00

---

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$15,449.22. 2) Respondent is liable and shall pay Claimant pre-judgment interest at the rate of 10% per annum from April 30, 2004 through October 13, 2004. 3) All requests for post-judgment interest are denied. 4) All requests for attorney fees are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$1,050.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay Claimant \$525.00 as reimbursement of one-half of the filing fee.

---

**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

Page Two  
Award 04-03453

Samuel M. Niden

Sole Non-Public Arbitrator

AFFIRMATION

I, Samuel M. Niden, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
\_\_\_\_\_  
Samuel M. Niden

10.21.2004  
Signature Date

October 26, 2004  
Date of Service (For NASD-DR office use only)