

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 04-05136

S. W. Bach & Company, Claimant v. Kent Martin, Respondent

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**ATTORNEYS:**

For Claimant S. W. Bach & Company ("Claimant") appeared Michael S. Colombo, President, Streetwide Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent Kent Martin ("Martin") appeared *pro se*, Far Rockaway, NY.

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**NATURE OF DISPUTE:** Member v. Associated Person.

**DATE FILED:** July 16, 2004.

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**CASE SUMMARY:** Claimant alleged that Respondent failed to repay monies owed pursuant to the terms of an employment agreement. Claimant maintained that due to Respondent's actions, it suffered losses.

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**Claim Data**

Claim: \$9,827.01

Interest: Unspecified

Costs of Collection: \$2,948.10

Filing Fees: \$875.00

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**Award Data**

Award: \$9,827.01

Interest: 9% per annum from July 1, 2004 to January 4, 2005

Costs of Collection: \$2,948.10

Filing Fees: \$750.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable for and shall pay Claimant \$9,827.01. 2) Respondent is liable and shall pay to Claimant interest at the rate of 9% per annum from July 1, 2004 to January 4, 2005. 3) Respondent is liable for and shall pay Claimant costs of collection in the amount of \$2,948.10. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$750.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay Claimant \$750.00 as reimbursement of the filing fee.

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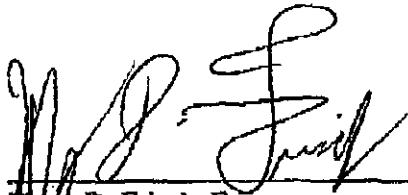
**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

Page Two  
Award 04-05136

Harry D. Frisch, Esq. - Sole Non-Public Arbitrator

AFFIRMATION

I, Harry D. Frisch, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
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Harry D. Frisch, Esq.

  
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Signature Date

January 31, 2005  
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Date of Service (For NASD-DR office use only)