

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-05291

Parker/Hunter Incorporated, Claimant v. Gail A. Mariana, Respondent

ATTORNEYS:

For Claimant Parker/Hunter Incorporated ("Claimant") appeared Jay W. Lewis, Esq., in-house counsel, Parker/Hunter Incorporated, Pittsburgh, PA.

Respondent Gail A. Mariana ("Respondent") appeared *pro se*, Wexford, PA.

NATURE OF DISPUTE: Member v. Associated Person.**DATE FILED:** July 23, 2004.

CASE SUMMARY: Claimant alleged that Respondent breached an employment agreement when she failed to repay training expenses. Claimant maintained that due to Respondent's actions, the company suffered a loss.

Claim Data**Claim:** \$8,888.88
Interest: @ 6%
Filing Fees: Unspecified
Other: Unspecified

Award Data**Award:** \$8,888.88
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issue submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$8,888.88. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$750.00 filing fee that the Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

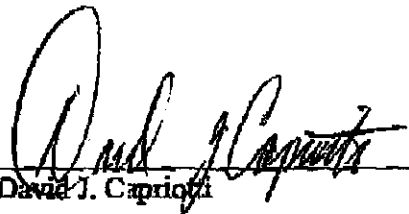
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ARBITRATOR

David J. Capriotti - Sole Non-Public Arbitrator

AFFIRMATION

I, David J. Capriotti, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


David J. Capriotti


Signature Date

December 11, 2004
Date of Service (For NASD-DR office use only)

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