

NASD DISPUTE RESOLUTION AWARD

NASD DISPUTE RESOLUTION

CASE: 04-05896

Robert B. Oleskow, Diana A. Oleskow Lubich, R.B. Oleskow & D.A. Oleskow
Lubich Trust of '99 Claimant v. CITIGROUP GLOBAL MARKETS, INC. f/k/a
SOLOMON SMITH BARNEY, INC. and JACK GRUBMAN

ATTORNEYS:

For Claimant: James Richard Hooper, Esquire: Hooper & Weiss, L.L.C ,Orlando,
Florida.

For Respondents, Citigroup Global Markets, Inc. f/k/a Salomon Smith Barney, Inc., and
Jack B. Grubman, ("Respondents"): Bradford D. Kaufman, Esq., of the law firm
Greenberg Traurig, P.A., West Palm Beach, FL.

NATURE OF DISPUTE: Customer v. Member and Associated Person

SUBMISSION AGREEMENT SIGNED: 4/14/03

CASE SUMMARY: Claimant alleged that Respondents violated each of the following:
Section 17 (a) of the Securities Act of 1933, Chapter 517.301 of the Florida Securities
and Investor Protection Act, NASD Rule 2210 (d)(1), and New York Stock Exchange
Rule 202.02. Claimant further alleged that Respondents breached their fiduciary duty,
and omitted to state material fact in connection with the offer and sale of WorldCom
stock. Claimant additionally alleged that Respondent Citigroup Global Markets, Inc.
f/k/a Salomon Smith Barney, Inc. is liable for the unlawful and negligent acts, of its
representative, Respondent Jack B. Grubman, under the doctrine of respondent superior.
Claimant maintained that due to Respondents' actions, his account suffered financial
losses.

Claim Data	Award Data
Claims: \$5,960.19	Award:\$0.00
Punitive Damages: Unspecified	Punitive Damages: \$.00
Interest: Unspecified	Interest:\$0.00
Attorney Fees: Unspecified	Attorney Fees: \$.00
Filing Fee: Unspecified	Filling Fees:\$0.00
Other: Unspecified	Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final
resolution of the issues submitted for determination as follows: 1) Claimants' claims are
dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All
requests for interest are denied. 4) All requests for attorney fees are denied. 5) All other
relief requests are denied. 6) NASD Dispute Resolution shall retain your filing fee and all
other fees for this hearing.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD
Dispute Resolution the \$325.00 Member Surcharge previously invoiced. Claimant shall
pay any and all additional fees associated with this arbitration. No fees or costs to be
assessed to Respondents, no legal fees or otherwise.

ARBITRATOR

Marie Paulette Levine

- Sole Public Arbitrator

AFFIRMATION

I, Marie Paulette Levine, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

/s/
Marie Paulette Levine

Signature Date

June 8, 2005

Date of Service (For NASD-DR office use only)

ARBITRATOR

Marie Paulette Levine

- **Sole Public Arbitrator**

AFFIRMATION

I, Marie Paulette Levine, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

[Handwritten signature]

Marie Paulette Levine

6-7-05

Signature Date

Date of Service (For NASD-DR office use only)