

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-05921

Nadia Della Volpe (Claimant) v. Sterling Financial Investment Group, Inc., Brian Lieberman, Jodi Isdith, and George Bush (Respondents)

ATTORNEYS:

Claimant appeared *pro se*, Hobe Sound, FL.

For Respondents Sterling Financial Investment Group, Inc., Brian Lieberman, and Jodi Isdith appeared Marc L. Abramson, Esq., in-house counsel, Sterling Financial Investment Group, Inc., Boca Raton, FL.

Respondent George Bush appeared *pro se*, Pembroke Pines, FL.

Sterling Financial Investment Group, Inc., Brian Lieberman, Jodi Isdith, and George Bush are hereinafter collectively referred to as "Respondents".

NATURE OF DISPUTE: Customer v. Member and Associated Persons.

DATE FILED: August 16, 2004.

CASE SUMMARY: Claimant alleged that Respondents placed her in investments that were unsuitable to her needs and investment objectives. Claimant further alleged inappropriate investment advice, misstatements and omissions, churning, misappropriation of funds, conversion, unauthorized transactions, improper executions, and fraud or fraudulent concealment. Claimant maintained that due to Respondent's actions, her account suffered losses. Claimant's claim involved unspecified common stocks.

Claim Data

Claim: \$24,900.00
Interest: \$10,306.00
Attorney Fees: \$9,678.00
Filing Fees: \$0.00
Other: \$2,750.00

Award Data

Award: \$500.00
Interest: \$0.00
Attorney Fees: \$0.00
Filing Fees: \$425.00
Other: \$0.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant against Respondents Jodi Isdith and George Bush are dismissed in their entirety. 2) Respondents Sterling Financial Investment Group, Inc. and Brian Lieberman are jointly and severally liable for and shall pay Claimant \$500.00. 3) All requests for interest are denied. 4) All requests for attorney fees are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimant deposited previously. 7) Respondent Sterling Financial Investment Group, Inc. is solely liable for and shall pay Claimant \$425.00 as reimbursement of the filing fee.

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OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Sterling Financial Investment Group, Inc. has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Nancy J. Cliff, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Nancy J. Cliff, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Nancy J. Cliff, Esq.

6/8/05

Signature Date

June 15, 2006

Date of Service (For NASD-DR office use only)