

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-06461

Jeffrey A. Meyerhardt, (Claimant) vs. Citigroup Global Markets, Inc. and Jack B. Grubman, (Respondents)

ATTORNEYS:

For Claimant appeared Michael B. Lynch, Esq., of the firm Hooper & Weiss, L.L.C., Orlando, FL.

For Respondents appeared Mark L. Parmelee, Esq., of the firm Greenberg Traurig LLP, New York, NY.

NATURE OF DISPUTE: Customer v. Member and Associated Person

DATE FILED: August 27, 2004

CASE SUMMARY: Claimant alleged that Respondents misrepresented material facts regarding WorldCom Stock. Claimant further alleged suitability, breach of fiduciary duty and negligence. Claimant maintained that due to Respondents' actions, he suffered financial losses. Claimant's claim involved common stock, including WorldCom.

Claim Data	Award Data
Claim: \$12,322.50	Award: \$.00
Punitive: Unspecified	Punitive: \$.00
Interest: Unspecified	Interest: \$.00
Attorney Fees: Unspecified	Attorney Fees: \$.00
Filing Fees: Unspecified	Filing Fees: \$.00
Other: Unspecified	Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimant deposited previously. 7) Claimant is liable and shall pay NASD Dispute Resolution the \$450.00 forum fee for the Initial Pre Hearing Conference that was held on December 15, 2004.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Citigroup Global Markets, Inc., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

Page Two

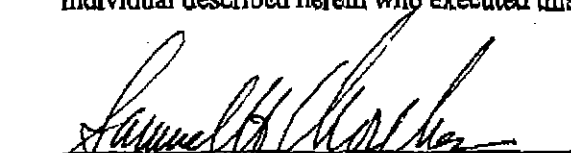
Award 04-06461

Samuel H. Chorchos, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Samuel H. Chorchos, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Samuel H. Chorchos, Esq.

11/15/05
(Signature Date)

November 17, 2005

Date of Service (For NASD-DR office use only)