

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 04-06901

First Montauk Securities Corp., Claimant v. Christian Eminente, Respondent

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**ATTORNEYS:**

For Claimant First Montauk Securities Corp. ("Claimant") appeared Michael S. Colombo, of Streetwide Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent Christian Eminente ("Respondent") did not file a Statement of Answer in this matter.

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**NATURE OF DISPUTE:** Member v. Associated Person.

**DATE FILED:** September 30, 2004.

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**CASE SUMMARY:** Claimant alleged that Respondent failed to repay monies owed pursuant to the terms of a Promissory Note. Claimant maintained that due to Respondent's actions, the company suffered losses.

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**Claim Data**

Claim: \$9,039.00  
Collection Fees: \$2,711.70  
Filing Fees: \$875.00  
Other: Unspecified

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**Award Data**

Award: \$9,039.00  
Collection Fees: \$2,711.70  
Filing Fees: \$750.00  
Other: \$0.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable for and shall pay Claimant \$9,039.00. 2) Respondent is liable for and shall pay Claimant collection fees in the amount of \$2,711.70. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$750.00 filing fee that the Claimant deposited previously. 5) Respondent is liable and shall pay Claimant \$750.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Award 04-06901

Roark Young

Sole Non-Public Arbitrator

AFFIRMATION

I, Roark Young, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Roark Young  
Roark Young

3-14-05  
Signature Date

April 5, 2005  
Date of Service (For NASD-DR office use only)