

Award
NASD Dispute Resolution

In the Matter of the Arbitration Between:

Jonathan Jay Greenfield, Claimant v. Sentra Securities Corporation, Respondent

Case Number: 04-07537

Hearing Site: Los Angeles, California

Nature of the Dispute: Associated Person v. Member

REPRESENTATION OF PARTIES

For Claimant:

Jonathan Jay Greenfield
In Propria Persona
Los Angeles, California

For Respondent:

John K. Fahey, Esq.
Sentra Securities Corporation
Phoenix, Arizona

CASE INFORMATION

Statement of Claim filed: October 27, 2004

Claimant's Uniform Submission Agreement signed: October 19, 2004

Statement of Answer filed by Respondent: December 20, 2004

Respondent's Uniform Submission Agreement signed: December 20, 2004

CASE SUMMARY

Claimant alleged breach of contract for failure to pay commissions.

Respondent denied the allegations of wrongdoing set forth in the Claimant's Statement of Claim and asserted various affirmative defenses.

RELIEF REQUESTED

Claimant requested \$7,875.00 in compensatory damages, unspecified punitive damages, pre- and post-judgment interest and costs, including attorney's fees.

Respondent requested dismissal of the Claimant's Statement of Claim in its entirety and reimbursement of its costs in defending this claim.

OTHER ISSUES CONSIDERED AND DECIDED

On November 3, 2004, Claimant signed a Waiver Agreement expressly waiving any and all rights and benefits under California Civil Code Section 1542 and the California Ethical Standards for Neutral Arbitrators.

Pursuant to the Code of Arbitration Procedure IM-10100, the waiver of the Claimant shall constitute and operate as a waiver for all member firms and associated persons (including terminated or otherwise inactive member firms or associated persons) against whom the Claim has been filed.

The parties agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered.

AWARD

After considering the pleadings, testimony, and evidence presented at the hearing, the Arbitrator decided in full and final resolution of the issues submitted for determination as follows:

- 1) Respondent is liable to and shall pay Claimant the sum of \$7,875.00 in compensatory damages.
- 2) The parties shall bear their respective costs, including attorney's fees.
- 3) All other relief requested and not expressly granted is denied.

FEES

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution received or will collect the non-refundable filing fees for each claim as follows:

Initial claim filing fee = \$ 75.00

Member Fees

Member fees are assessed to each member firm that is either a party in the matter or an employer of a respondent associated person at the time of the events that gave rise to the dispute, claim, or controversy. Accordingly, the member firm Sentra Securities Corporation is a party and the following fees are assessed:

Member Surcharge = \$325.00

Forum Fees and Assessments

The Arbitrator assessed a forum fee for each pre-hearing conference or hearing session conducted. A pre-hearing conference and hearing session is any meeting between the parties and the Arbitrator. The following fees are assessed:

One (1) Hearing sessions @ \$250.00/session = \$250.00

Hearing: March 23, 2005 1 session

Total Forum Fees = \$250.00

1. The Arbitrator assessed \$125.00 of the forum fees to Claimant Jonathan Jay Greenfield.
2. The Arbitrator assessed \$125.00 of the forum fees to Respondent Sentra Securities Corporation.

Fee Summary

1. Claimant Jonathan Jay Greenfield is charged with the following fees and costs:

Initial Filing Fee	= \$ 75.00
Forum Fees	= \$ 125.00
Total Fees	= \$ 200.00
Less payments	= \$(325.00)
Refund Due from NASD Dispute Resolution	= \$(125.00)

2. Respondent Sentra Securities Corporation is charged with the following fees and costs:

Member Fees	= \$ 325.00
Forum Fees	= \$ 125.00
Total Fees	= \$ 450.00
Less payments	= \$(325.00)
Balance Due NASD Dispute Resolution	= \$ 125.00

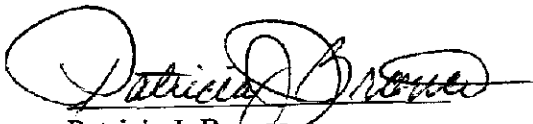
All balances are payable to NASD Dispute Resolution and are due upon the receipt of the Award pursuant to Rule 10330(g) of the Code.

ARBITRATOR

Patricia J. Branco

Non-Public Arbitrator

Arbitrator's Signatures


Patricia J. Branco
Chair, Public Arbitrator

March 23, 2005
Signature Date

March 23, 2005
Date of Service