

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-07903

Charles V. Brown, (Claimant) vs. High Street Financial, Inc., (Respondent)

ATTORNEYS:

For Claimant appeared Neal J. Blaher, of the Law Office of Neal Blaher, Orlando, FL.

For Respondent appeared Richard C. McCrea, Jr., Esq., of the firm Zinobar & McCrea, Tampa, FL.

NATURE OF DISPUTE: Associated Person v. Non-Member with Contract to Arbitrate

DATE FILED: November 12, 2004

CASE SUMMARY: Claimant alleged that Respondent breached an Associated Person Agreement by failing to pay owed commissions. Claimant maintained that due to Respondent's actions, he suffered a financial loss.

Claim Data

Claim: \$9,007.06
Interest: Unspecified

Attorney Fees: Unspecified

Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$9,007.06
Interest: granted at the rate of 7% per annum from February 15, 2004 to date of payment of award.

Attorney Fees: to be established by a court of competent jurisdiction in the circuit courts of State of Florida.

Filing Fees: \$325.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$9,007.06. 2) Respondent is liable and shall pay Claimant attorney fees to be established by a court of competent jurisdiction in the circuit courts of the State of Florida pursuant to Florida Statute 57.105(7). 3) Respondent is liable and shall pay Claimant interest at the rate of 7% per annum from February 15, 2004 to date of payment of award. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$325.00 filing fee that the claimant deposited previously. 6) Respondent is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

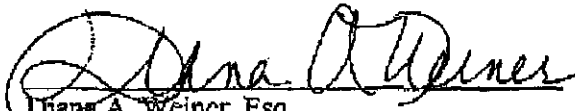
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Diana A. Weiner, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Diana A. Weiner, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Diana A. Weiner, Esq.

7-12-2005
(Signature Date)

July 13, 2005
Date of Service (For NASD-DR office use only)