

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

---

CASE: 04-08057

Yair Shapira, (Claimant) vs. Primevest Financial Services, Inc., (Respondent)

---

**ATTORNEYS:**

Claimant appeared pro se, K. Motzekin, Israel.

For Respondent appeared James J. Moylan, Esq., of the firm James J. Moylan & Associates, P.C., Steamboat Springs, CO.

---

**NATURE OF DISPUTE:** Customer vs. Member

---

**DATE FILED:** November 12, 2004

---

**CASE SUMMARY:** Claimant alleged breach of contract, negligence, failure to execute and manipulations. Claimant maintained that due to Respondent's actions, he suffered financial losses. Claimant's claim involved corporate bonds.

---

**Claim Data**

Claim: \$25,000.00  
Punitive: Unspecified  
Interest: Unspecified  
Attorney Fees: \$1,500.00

**Award Data**

Award: \$.00  
Punitive: \$.00  
Interest: \$.00  
Attorney Fees: \$.00

---

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the claimant deposited previously.

---

**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

Page Two  
Award 04-08057

Martin J. Oppenheimer, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Martin J. Oppenheimer, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.

  
\_\_\_\_\_  
Martin J. Oppenheimer, Esq.

7/15/05  
(Signature Date)

July 18, 2005  
Date of Service (For NASD-DR office use only)