

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-08152

Danelle M. Sadler, (Claimant) vs. Citigroup Global Markets, Inc. and Domenic Tomaro,
(Respondents)

ATTORNEYS:

Claimant appeared pro se, Naples, FL.

For Respondents appeared Citigroup Global Markets, Inc.'s in-house counsel Ann Parry, Esq.,
New York, NY.

NATURE OF DISPUTE: Customer v. Member and Associated Person

DATE FILED: November 24, 2004

CASE SUMMARY: Claimant alleged that Respondents breached their fiduciary duty, omitted material facts, and placed her in unsuitable investments. Claimant further alleged that Respondents negligently handled her account and failed to supervise their employees. Claimant maintained that due to Respondents' actions, her account suffered financial losses. Claimant's claim involved various common stock and other unspecified securities products.

Claim Data

Claim: \$10,00.00
Filing Fees: \$.00

Award Data

Award: \$.00
Filing Fees: \$162.50

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimant deposited previously. 4) Respondent Citigroup Global Markets, Inc. is liable and shall pay Claimant \$162.50 as reimbursement of one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Citigroup Global Markets, Inc., has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

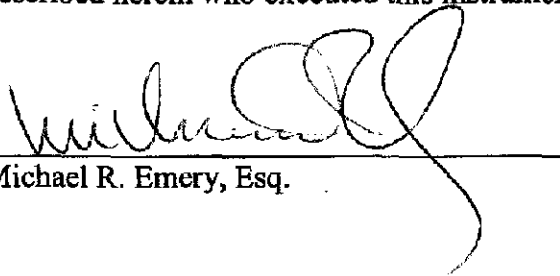
Page Two
Award 04-08152

Michael R. Emery, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Michael R. Emery, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

A handwritten signature in black ink, appearing to read "Michael R. Emery", is written over a horizontal line.

Michael R. Emery, Esq.

7-1-05
(Signature Date)

JULY 8, 2005
Date of Service (For NASD-DR office use only)