

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 04-08306

Kevin P. Suszko, (Claimant) vs. Park Avenue Securities, LLC, Steven Alan Ferrara and Larry David Moore, (Respondents)

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**ATTORNEYS:**

Claimant appeared pro se, Short Hills, NJ.

For Respondent Park Avenue Securities, LLC appeared in-house counsel Gordon F. Wylie.

Respondent Steven Alan Ferrara appeared pro se, Colts Neck, NJ.

Respondent Larry David Moore appeared pro se, Basking Ridge, NJ.

Park Avenue Securities, LLC, Steven Alan Ferrara and Larry David Moore are hereinafter collectively referred to as "Respondents".

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**NATURE OF DISPUTE:** Associated Person vs. Member and Associated Persons

**DATE FILED:** December 6, 2004

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**CASE SUMMARY:** Claimant alleged that Respondents have failed to repay monies owed for commissions. Claimant maintained that due to Respondents' actions, he has suffered financial losses.

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**Claim Data**

Claim: \$2,159.18  
Filing Fees: \$.00  
Other: Unspecified

**Award Data**

Award: \$615.20  
Filing Fees: \$75.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant against Respondents Steven Alan Ferrara and Larry David Moore are dismissed in their entirety. 2) Respondent Park Avenue Securities, LLC is liable and shall pay to the claimant \$615.20. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$75.00 filing fee that the claimant deposited previously. 5) Respondent Park Avenue Securities, LLC is liable and shall pay claimant \$75.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent Park Avenue Securities, LLC has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

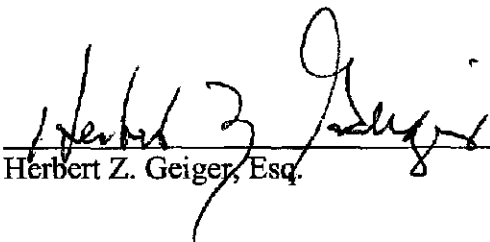
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Award 04-08306

Herbert Z. Geiger, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Herbert Z. Geiger, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Herbert Z. Geiger, Esq.

  
(Signature Date)

July 12, 2005  
Date of Service (For NASD-DR office use only)