

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 04-08853

Howard Wilkinson, (Claimant) vs. E*Trade Securities LLC, (Respondent)

ATTORNEYS:

Claimant appeared pro se, Desert Hills, AZ.

For Respondent appeared Tom Delany, in-house counsel, E*Trade Securities LLC, Rancho Cordova, CA.

NATURE OF DISPUTE: Customer vs. Member

DATE FILED: December 27, 2004

CASE SUMMARY: Claimant alleged that Respondent refused to pay the special dividend on his long 2000 shares of Microsoft. Claimant maintained that due to Respondent's actions, his account suffered a loss. Claimant's claim involves Microsoft stock.

Claim Data

Claim: \$6,160.00
Filing Fees: \$325.00
Other: Unspecified

Award Data

Award: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) NASD Dispute Resolution shall retain the \$325.00 filing fee that the claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

OTHER ISSUES: Respondent's request for a direction that Claimant transfer all accounts from E*Trade is denied.

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Award 04-08853

Ann C. Northern, Esq.

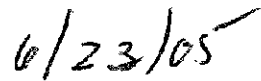
Sole Public Arbitrator

AFFIRMATION

I, Ann C. Northern, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Ann C. Northern, Esq.



(Signature Date)



Date of Service (For NASD-DR office use only)