

Award
NASD Dispute Resolution

In the Matter of the Arbitration Between:

Perrin, Holden & Davenport Capital Corp. (Claimant) v. Roman Genov (Respondent)

Case Number: 05-00151

Hearing Site: New York, New York

Nature of the Dispute: Member vs. Associated Person

REPRESENTATION OF PARTIES

Claimant, Perrin, Holden & Davenport Capital Corp hereinafter referred to as "Claimant":
Jonathan Uretsky, Esq., Philipson & Uretsky, New York, NY. Previously represented by Michael
S. Colombo, President, StreetWide Asset Recovery Group, Inc. New York, NY.

Respondent, Roman Genov ("Genov") hereinafter referred to as "Respondent": Stephen Boylan.
Previously appeared *pro se*.

CASE INFORMATION

Statement of Claim filed on or about: January 7, 2005.

Claimant signed the Uniform Submission Agreement: January 3, 2005.

Statement of Answer filed by Respondent on or about: March 4, 2005.

Respondent signed the Uniform Submission Agreement: March 3, 2005.

CASE SUMMARY

Claimant asserted the following causes of action: breach of employment contract.

Unless specifically admitted in its Answer Respondent denied the allegations made in the Statement
of Claim and asserted various affirmative defenses.

RELIEF REQUESTED

Claimant requested:

Compensatory Damages	\$ 3,620.00
Other Costs	\$ 875.00
Other Monetary: collection fees	\$ 1,617.61
Attorney's Fees:	\$ Unspecified
Interest:	\$ Unspecified

Respondent requested that the Arbitrator dismiss Claimant's claims.

OTHER ISSUES CONSIDERED AND DECIDED

At the commencement of the June 21, 2005 hearing, Claimant amended the damages portion of the Statement of Claim from \$5,392.05 to \$3,620.00 in compensatory damages, and asserted a claim for unspecified attorney's fees and interest. Respondent withdrew its Counterclaim at the commencement of the hearing. In addition, Respondent made a Motion to Dismiss, which was denied by the Arbitrator.

The parties have agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered.

AWARD

After considering the pleadings, the testimony and evidence presented at the hearing, the Arbitrator has decided in full and final resolution of the issues submitted for determination as follows:

1. Respondent shall pay to the Claimant \$1,000.00 in compensatory damages.
2. Any and all relief not specifically addressed herein, is denied.

FEES

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution will retain or collect the non-refundable filing fees for each claim:
Initial claim filing fee = \$ 400.00

Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firm that employed the associated person at the time of the events giving rise to the dispute. Accordingly, Perrin is a party.

Member surcharge = \$200.00

Forum Fees and Assessments

The Arbitrator has assessed forum fees for each session conducted. A session is any meeting between the parties and the arbitrator, including a pre-hearing conference with the arbitrator, that lasts four (4) hours or less. Fees associated with these proceedings are:

One (1) Pre-hearing session with single arbitrator @ \$125.00 = \$125.00
Pre-hearing conference: May 9, 2005 1 session

Two (2) Hearing sessions @ \$250.00 = \$250.00
Hearing Date: June 21, 2005 2 sessions

Total Forum Fees = \$375.00

1. The Arbitrator has assessed \$187.50 of the forum fees to Claimant.
2. The Arbitrator has assessed \$187.50 of the forum fees to Respondent.

Fee Summary

1. Claimant is solely liable for:

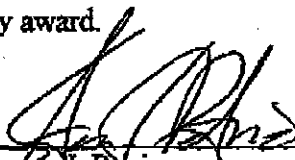
Initial Filing Fee	= \$ 400.00
Member Fees	= \$ 200.00
Forum Fees	= \$ 187.50
Total Fees	= \$ 787.50
<u>Less payments</u>	<u>= \$ 1,075.00</u>
Refund due Claimant	= \$ 287.50

2. Respondent is solely liable for:

Forum Fees	= \$ 187.50
Total Fees	= \$ 187.50
<u>Less payments</u>	<u>= \$ 0.00</u>
Balance Due NASD Dispute Resolution	= \$ 187.50

All balances are payable to NASD Dispute Resolution and are due upon receipt pursuant to Rule 10330(g) of the Code.

I, the undersigned arbitrator, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein and who executed this instrument, which is my award.



Steven J. Petric

Non-Public Arbitrator, Presiding Chairperson

7/1/05

Signature Date

July 1, 2005

Date of Service (For NASD Dispute Resolution use only)