

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-00245

Ralph Walter Grabowski, Ralph E. Grabowski and Gary W. Grabowski, (Claimants) vs. Princor Financial Services Corporation, (Respondent)

ATTORNEYS:

Claimants appeared pro se, Pittsburgh, PA.

For Respondent appeared Kenneth M. Kolaski, Esq., of the firm Reed Smith LLP, Philadelphia, PA.

NATURE OF DISPUTE: Associated Persons vs. Member

DATE FILED: January 18, 2005

CASE SUMMARY: Claimants alleged that Respondent failed to pay commissions owed. Claimants maintained that due to Respondent's actions, they suffered financial losses.

Claim Data

Claim: \$6,500.00
Interest: \$125.00
Filing Fees: \$325.00
Other: Unspecified

Award Data

Award: \$.00
Punitive: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimants are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimants deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Award 05-00245

AnnDrea M. Benson, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, AnnDrea M. Benson, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

AnnDrea M. Benson
AnnDrea M. Benson, Esq.

June 17, 2005
(Signature Date)

June 29, 2005
Date of Service (For NASD-DR office use only)