

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-00534

Symetra Investment Services, (Claimant) vs. Brian Methvin, (Respondent)

ATTORNEYS:

For Claimant appeared Shannon L. McDougald, of the firm Perkins Coie, LLP, Seattle, WA.

Respondent appeared pro se, Sherwood, OR.

NATURE OF DISPUTE: Member v. Associated Person

DATE FILED: January 27, 2005

CASE SUMMARY: Claimant alleged that Respondent breached their contract by failing to repay monies owed pursuant to the terms of a Promissory Note. Claimant further alleged conversion by virtue of failing to return funds. Respondent intentionally took and maintained property belonging to Claimant. Claimant maintained that due to Respondent's actions, the firm suffered a financial loss.

Claim Data

Claim: \$15,000.00
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: \$00
Other: Unspecified

Award Data

Award: \$15,000.00
Interest: \$00
Attorney Fees: \$00
Filing Fees: \$1,050.00
Other: \$00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$15,000.00. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$1,050.00 filing fee that the Claimant deposited previously. 6) Respondent is liable and shall pay Claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

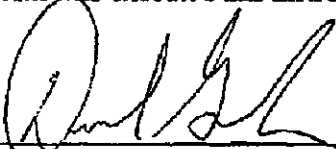
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Award 05-00534

David M. Gaba

Sole Public Arbitrator

AFFIRMATION

I, David M. Gaba, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



David M. Gaba

8/25/05

(Signature Date)

August 26, 2005

Date of Service (For NASD-DR office use only)