

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 05-01192

Oppenheimer & Co. Inc., (Claimant) vs. Salvatore Renzo, (Respondent)

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**ATTORNEYS:**

For Claimant appeared in-house counsel Eric J. Shames, Esq., New York, NY.

Respondent appeared pro se, New York, NY.

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**NATURE OF DISPUTE:** Member v. Associated Person

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**DATE FILED:** March 7, 2005

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**CASE SUMMARY:** Claimant alleged that Respondent breached a contract by failing and refusing to repay monies owed pursuant to the terms of a Promissory Note. Claimant maintained that due to Respondent's actions, the firm suffered a financial loss.

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**Claim Data**

Claim: \$5,000.00  
Interest: Unspecified  
Attorney Fees: \$2,500.00  
Filing Fees: \$525.00  
Other: Unspecified

**Award Data**

Award: \$1,666.64  
Interest: \$.00  
Attorney Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$1,666.64. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$525.00 filing fee that the claimant deposited previously.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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Award 05-01192

Norman B. Arnoff, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Norman B. Arnoff, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.

Norman B. Arnoff  
Norman B. Arnoff, Esq.

9/30/05  
(Signature Date)

October 3, 2005  
Date of Service (For NASD-DR office use only)