

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 05-01619

William W. and Barbara Berryhill, (Claimants) vs. Todd C. Bauman, Natalie A. Carter, Stephen Martin, Camden Securities, Inc. and Patrick Anthony, (Respondents)

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**ATTORNEYS:**

Claimants appeared pro se, Henderson, NV.

For Respondents Camden Securities, Inc. and Patrick Anthony appeared Patrick Anthony, Los Angeles, CA.

Respondent Todd C. Bauman appeared pro se, Las Vegas, NV.

Respondent Natalie A. Carter appeared pro se, Vernal, UT.

Respondent Stephen Martin appeared pro se, Alhambra, CA.

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**NATURE OF DISPUTE:** Customers v. Member and Associated Persons

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**DATE FILED:** March 25, 2005

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**CASE SUMMARY:** Claimants alleged that Respondents fraudulently misrepresented material facts regarding investments. Claimants further alleged mark-ups. Claimants maintained that due to Respondents' actions, they suffered financial losses. Claimants' claim involved mutual funds, annuities and bonds.

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<b>Claim Data</b>	<b>Award Data</b>
Claim: \$10,000.00	Award: \$.00
Interest: Unspecified	Interest: \$.00
Filing Fees: \$325.00	Filing Fees: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimants are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimants deposited previously.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent, Camden Securities, Inc., has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Award 05-01619

Richard W. Pendleton

Sole Public Arbitrator

AFFIRMATION

I, Richard W. Pendleton, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Richard W. Pendleton

11/22/05  
(Signature Date)

NOVEMBER 30, 2005  
Date of Service (For NASD-DR office use only)