

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-03209

Mark I. Lev and Neal Scott, (Claimants) vs. Luther Cooper, (Respondent)

ATTORNEYS:

Claimant appeared *pro se* through Mark I. Lev, New York, NY.

Respondent did not submit an Answer to the Statement of Claim.

NATURE OF DISPUTE: Associated Persons vs. Associated Person

DATE FILED: June 22, 2005

CASE SUMMARY: Claimants alleged that Respondent breached an employment contract by failing to repay monies given to him as advances. Claimants maintained that due to Respondent's actions, they suffered financial losses.

Claim Data

Claim: \$15,809.04
Filing Fees: \$425.00

Award Data

Award: \$15,809.04
Filing Fees: \$425.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimants \$15,809.04. 2) All other relief requests are denied. 3) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimants deposited previously. 4) Respondent is liable and shall pay Claimants \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, WestPark Capital, Inc. has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent Luther Cooper was served notice of the Statement of Claim and Notification of Arbitrator by regular mail, and Overdue Notice by certified mail, and is therefore bound by the arbitrator's ruling and determination.

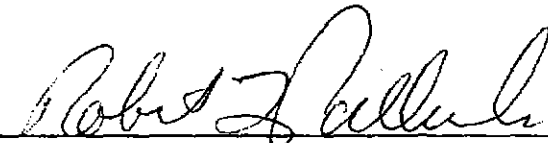
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Robert L. Palleschi

Sole Non-Public Arbitrator

AFFIRMATION

I, Robert L. Palleschi, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.


Robert L. Palleschi


(Signature Date)

February 10, 2006
Date of Service (For NASD-DR office use only)