

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-04244

Jim L. Conley, (Claimant) vs. Edward D. Jones & Co., (Respondent)

ATTORNEYS:

Claimant appeared pro se, Garland, TX.

For Respondent appeared Trae D. Meyr, Esq., of the firm Greensfelder, Hemker & Gale, P.C., St. Lois, MO.

NATURE OF DISPUTE: Customer vs. Member

DATE FILED: August 10, 2005

CASE SUMMARY: Claimant alleged that Respondent misrepresented material facts regarding his investments. Claimant further alleged breach of fiduciary duty and suitability. Claimant maintained that due to Respondent's actions, he suffered financial losses. Claimant's claim involved Cisco Stock.

Claim Data

Claim: \$7,181.70
Punitive: \$1,000.00

Award Data

Award: \$.00
Punitive: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Award 05-04244

Beth Ann Blackwood, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Beth Ann Blackwood, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Beth Ann Blackwood, Esq.

12/19/05

(Signature Date)

December 30, 2005

Date of Service (For NASD-DR office use only)