

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-04611

Newbridge Securities Corporation, (Claimant) vs. William Harris, (Respondent)

ATTORNEYS:

For Claimant appeared Michael S. Colombo, President and CEO of Streetwise Asset Recovery Group, Inc., Lyndhurst, NJ.

Respondent appeared pro se, Phoenix, AZ.

NATURE OF DISPUTE: Member v. Associated Person

DATE FILED: September 1, 2005

CASE SUMMARY: Claimant alleged that Respondent breached a contract by failing to repay monies owed pursuant to the terms of an Executed Agreement. Claimant maintained that due to Respondent's actions, the firm suffered financial losses.

Claim Data	Award Data
Claim: \$12,471.44	Award: \$12,471.44
Interest: \$.00	Interest: granted at the rate of 7% per annum from 1/7/06- payment of award.
Filing Fees: \$875.00	Filing Fees: \$1,050.00
Other: \$3,741.43	Other: \$3,741.43

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$12,471.44. 2) Respondent is liable and shall pay to the Claimant interest at the rate of 7% per annum from January 7, 2006 until the date of payment of the award. 3) Respondent is liable and shall pay to the Claimant \$3,741.43 for collection fees pursuant to the terms of the Agreement. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$1,050.00 filing fee that the Claimant deposited previously. 7) Respondent is liable and shall pay Claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has not paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

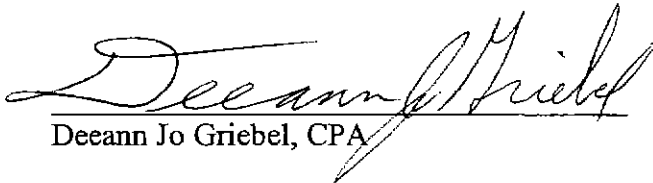
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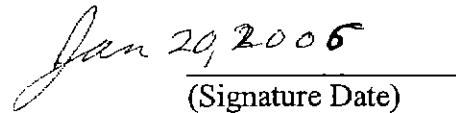
Deeann Jo Griebel, CPA-

- Sole Non-Public Arbitrator

AFFIRMATION

I, Deeann Jo Griebel, CPA, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Deeann Jo Griebel, CPA


(Signature Date)

JANUARY 25, 2006
Date of Service (For NASD-DR office use only)