

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-04800

The Gertrude M. Meyer Revocable Trust dtd 10/04/91, (Claimant) vs. Edward D. Jones & Co., (Respondent)

ATTORNEYS:

For Claimant appeared Nicholas J. Guiliano, Esq., of The Guiliano Law Firm, Philadelphia, PA.

For Respondent appeared E. Linwood Gunn, IV, Esq., of the firm Brock, Clay & Calhoun, P.C., Marietta, GA.

NATURE OF DISPUTE: Customer vs. Member

DATE FILED: September 7, 2005

CASE SUMMARY: Claimant alleged that Respondent misrepresented and omitted material facts regarding investments. Claimant further alleged failure to supervise, negligence and breach of fiduciary duty. Claimant maintained that due to Respondent's actions, she suffered a financial loss. Claimant's claim involved various common stock.

Claim Data

Claim: \$23,436.00
Punitive: Unspecified
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Punitive: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

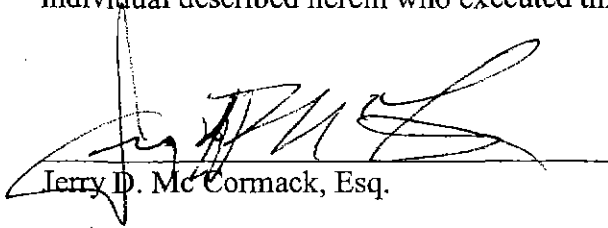
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Jerry D. Mc Cormack, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Jerry D. Mc Cormack, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Jerry D. Mc Cormack, Esq.


(Signature Date)

January 18, 2006
Date of Service (For NASD-DR office use only)