

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-04901

Thomas B. Lovell, Individually and as President of Thomas B. Lovell, Inc., (Claimants) vs.
Edward D. Jones & Co., (Respondent)

ATTORNEYS:

For Claimants appeared Robert H. Rex, Esq., of the firm Dickenson, Murphy, Rex & Sloan,
Boca Raton, FL.

For Respondent appeared Joseph B. Genster, Esq., of the firm Hillis Clark Martin & Peterson,
P.S., Seattle, WA.

NATURE OF DISPUTE: Customers vs. Member

DATE FILED: September 16, 2005

CASE SUMMARY: Claimants alleged that Respondent placed them in investments that were unsuitable for their needs. Claimants maintained that due to Respondent's actions, they suffered financial losses. Claimants' claim involved various technology growth funds.

Claim Data

Claim: \$25,000.00
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: Unspecified

Award Data

Award: \$7,502.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$425.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimants \$7,502.00. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimants deposited previously. 6) Respondent is liable and shall pay Claimants \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

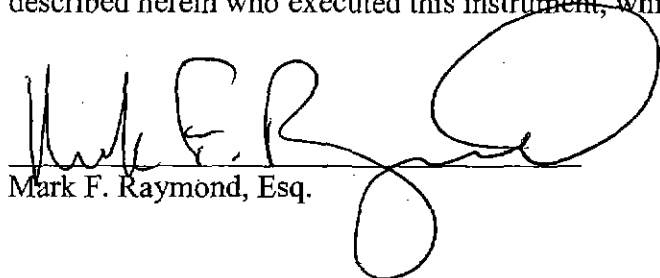
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Award 05-04901

Mark F. Raymond, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Mark F. Raymond, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Mark F. Raymond, Esq.

2/10/06
(Signature/Date)

February 17, 2006

Date of Service (For NASD-DR office use only)