

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-05162

Angelina Burnley, (Claimant) vs. Cheryl Jimerson and Westrock Advisors, Inc., (Respondents)

ATTORNEYS:

For Claimant appeared Romaine Gardner, of Fordham University, New York, NY.

Respondent Cheryl Jimerson did not submit an Answer to the Statement of Claim.

Respondent Westrock Advisors, Inc. did not submit an Answer to the Statement of Claim.

Respondents Cheryl Jimerson and Westrock Advisors, Inc. are hereinafter collectively referred to as "Respondents".

NATURE OF DISPUTE: Customer v. Member and Associated Person

DATE FILED: October 3, 2005

CASE SUMMARY: Claimant alleged that Respondents placed her in investments that were unsuitable for her needs. Claimant further alleged failure to supervise, churning and omission of facts. Claimant maintained that due to Respondent's actions, she suffered financial losses. Claimant's claim involved various mutual funds.

Claim Data

Award Data

Claim: \$14,185.50
Punitive: Unspecified
Interest: Unspecified

Award: \$14,185.50
Punitive: \$.00
Interest: granted at the rate of 7% per annum from March 1, 2004 until date of payment of the award.

Attorney Fees: Unspecified
Filing Fees: Unspecified

Attorney Fees: \$.00
Filing Fees: \$425.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent Cheryl Jimerson is solely liable and shall pay to the Claimant \$14,185.50. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) Respondent Cheryl Jimerson is solely liable and shall pay to the Claimant interest at the rate of 7% per annum from March 1, 2004 until the date of payment of the award. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimant deposited previously. 7) Respondent Cheryl Jimerson is solely liable and shall pay Claimant \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Westrock Advisors, Inc., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent Cheryl Jimerson was served notice of the Statement of Claim and

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Notification of Arbitrator by regular mail, and Overdue Notice by certified mail, as evidenced by the signed signature card on file and is therefore bound by the arbitrator's ruling and determination.

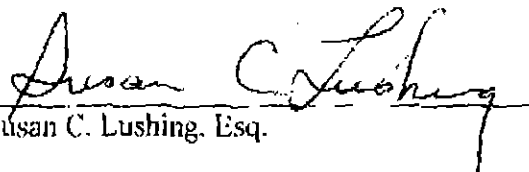
On or about December 30, 2005, NASD was notified that Claimant and Respondent Westrock Advisors, Inc. entered into a settlement agreement.

Susan C. Lushing, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Susan C. Lushing, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.


Susan C. Lushing, Esq.

1/19/06
(Signature/Date)

January 20, 2006

Date of Service (For NASD-DR office use only)