

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-05629

Pieter R. Wiederhold, (Claimant) vs. John M. Koulopoulos, (Respondent)

ATTORNEYS:

Claimant appeared *pro se*, Cumming, GA.

For Respondent appeared AG Edwards & Sons, Inc.'s in-house counsel Sherry A. Wolk, Esq., St. Louis, MO.

NATURE OF DISPUTE: Customer v. Associated Person

DATE FILED: November 1, 2005

CASE SUMMARY: Claimant alleged that Respondent breached his fiduciary duty by placing him in investments that were unsuitable for his needs. Claimant further alleged misrepresentation and negligence. Claimant maintained that due to Respondent's actions, he suffered a financial loss. Claimant's claim involved various common stock.

Claim Data

Claim: \$6,000.00
Punitive: \$4,000.00
Other: Unspecified

Award Data

Award: \$.00
Punitive: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$325.00 filing fee that the Claimant deposited previously.

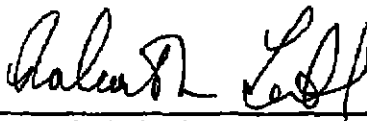
OTHER FEES: Pursuant to Rule 10333 of the Code, AG Edwards & Sons, Inc. has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

Robert N. Leitch, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Robert N. Leitch, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Robert N. Leitch, Esq.

5/16/06
(Signature Date)

May 17, 2006

Date of Service (For NASD-DR office use only)