

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

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CASE: 05-05701

Westpark Capital, Inc., (Claimant) vs. Stephen Andrew Comeau, (Respondent)

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**ATTORNEYS:**

For Claimant appeared in-house counsel Anthony C. Pintsopoulos, Esq., Los Angeles, CA.

Respondent did not submit an Answer to the Statement of Claim.

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**NATURE OF DISPUTE:** Member v. Associated Person

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**DATE FILED:** November 8, 2005

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**CASE SUMMARY:** Claimant alleged that Respondent breached a contract by failing to repay monies owed pursuant to the terms of a Promissory Note. Claimant maintained that due to Respondent's actions, the firm suffered a financial loss.

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**Claim Data**

Claim: \$2,603.26  
Filing Fees: \$725.00

**Award Data**

Award: \$2,603.26  
Filing Fees: \$525.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$2,603.26. 2) All other relief requests are denied. 3) NASD Dispute Resolution shall retain the \$525.00 filing fee that the Claimant deposited previously. 4) Respondent is liable and shall pay Claimant \$525.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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**OTHER ISSUES:** Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent Stephen Andrew Comeau was served notice of the Statement of Claim and Notification of Arbitrator by regular mail, and Overdue Notice by certified mail, and is therefore bound by the arbitrator's ruling and determination.

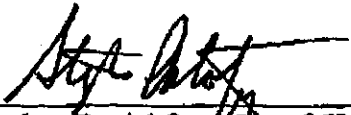
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Award 05-05701

Stephen Daniel Ostrofsky, CFP

Sole Non-Public Arbitrator

AFFIRMATION

I, Stephen Daniel Ostrofsky, CFP, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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Stephen Daniel Ostrofsky, CFP

3/13/03  
(Signature Date)

**March 21, 2006**

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Date of Service (For NASD-DR office use only)