

NASD DISPUTE RESOLUTION MODIFIED AWARD
NASD DISPUTE RESOLUTION

CASE: 05-05840

Aurvil G. and Norma F. Gladden, (Claimants) vs. Edward D. Jones & Co.,
(Respondent)

ATTORNEYS:

For Claimant appeared Chris Claassen, Esq., of the firm Burg Simpson Eldredge
Hersh Jardine PC, Dallas, TX.

For Respondent appeared Sandra B. Gallini, Esq., of the firm Greensfelder,
Hemker & Gale, P.C., St. Louis, MO.

NATURE OF DISPUTE: Customers vs. Member

DATE FILED: November 15, 2005

CASE SUMMARY: Claimants alleged that Respondent misrepresented and
omitted material facts regarding investments regarding investments in their
accounts. Claimants maintained that due to Respondent's actions, they suffered
financial losses. Claimants' claim involved mutual funds.

Claim Data

Claim: \$20,315.36
Interest: \$30,868.30
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: \$607.60

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final
resolution of the issues submitted for determination as follows: 1) The claims of
the Claimants are dismissed in their entirety. 2) All requests for attorney fees are
denied. 3) All requests for interest are denied. 4) All other relief requests are
denied. 5) NASD Dispute Resolution shall retain the \$425.00 filing fee that the
Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to
NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Award 05-05840


Russell E. Rains, Esq.
Arbitrator

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Sole Public

AFFIRMATION

I, Russell E. Rains, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Russell E. Rains, Esq.

28 July 2006

(Signature Date)

August 1, 2006

Date of Service (For NASD-DR office use only)