

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 05-06018

S.W. Bach & Company, (Claimant) vs. Giovanni Denaro, (Respondent)

ATTORNEYS:

For Claimant appeared Michael S. Colombo, President and CEO of StreetWide Asset Recovery Group, Inc.

Respondent appeared *pro se*, New Hyde Park, NY.

NATURE OF DISPUTE: Member v. Associated Person

DATE FILED: November 23, 2005

CASE SUMMARY: Claimant alleged that Respondent breached a contract by failing to repay monies owed pursuant to the terms of a Promissory Note. Claimant maintained that due to Respondent's actions, the firm suffered a financial loss.

Claim Data

Claim: \$2,800.00
Filing Fees: \$875.00
Other: \$840.00

Award Data

Award: \$2,800.00
Filing Fees: \$525.00
Other: \$840.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$2,800.00. 2) Respondent is liable and shall pay to the Claimant \$840.00 for collection fees. 3) All other relief requests are denied. 4) NASD Dispute Resolution shall retain the \$525.00 filing fee that the Claimant deposited previously. 5) Respondent is liable and shall pay Claimant \$525.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.


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Award 05-06018

Paul M. Rosenthal

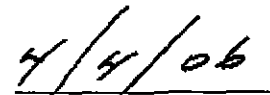
Sole Non-Public Arbitrator

AFFIRMATION

I, Paul M. Rosenthal, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument, which is my award.



Paul M. Rosenthal



(Signature Date)

April 4, 2006
Date of Service (For NASD-DR office use only)