

**AMENDED AWARD
NASD DISPUTE RESOLUTION**

In the Matter of the Arbitration Between:

Prudential Equity Group, LLC f/k/a Prudential Securities, Incorporated vs. George Stanley Blake

Case Number: 06-00024

SITUS: Atlanta, Georgia

NATURE OF DISPUTE: Member vs. Associated Person.

REPRESENTATION OF PARTIES

Prudential Equity Group, LLC, hereinafter referred to as "Claimant": Alan M. Lubiner, Esq., Lubiner & Schmidt, Cranford, New Jersey.

Respondent did not enter an appearance in this matter.

DATE FILED: January 3, 2006.

CASE SUMMARY: Claimant alleged breach of the terms of a promissory note.

Claim Data		Award Data	
Claim:	\$ 196,722.53	Award	\$196,722.53
Interest:	\$ 39,697.35	Interest:	\$ 39,697.35
Attorney's Fee and Costs:	\$ 7,817.15	Attorney's Fee and Costs:	\$.00
Filing Fees:	\$ 3,825.00	Filing Fees:	\$.00
Other:	Unspecified	Other:	\$.00

OTHER ISSUES:

By letter dated July 20, 2006, Claimant opted to proceed against Respondent pursuant to Rule 10314(e) of the NASD Code of Arbitration (the "Code"). The arbitrator determined that Respondent was properly served with notice of the Statement of Claim and Notification of the Arbitrator by certified mail, and that Respondent is required to submit to arbitration pursuant to the Code and is bound by the determination of the Arbitrator on all issues submitted.

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to Claimant compensatory damages in the amount of \$196,722.53, plus

interest in the amount of \$39,697.35; 2) all requests for attorney's fees and costs are denied; and, 3) all other claims for relief not specifically addressed herein are denied.

FORUM FEES:

The Arbitrator assessed \$562.50 of the forum fees against the Respondent.
The Arbitrator assessed \$562.50 of the forum fees against the Claimant.

The \$1,000.00 filing fee previously deposited with NASD Dispute Resolution by Claimant shall be retained by NASD Dispute Resolution.

Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firm(s) that employed the associated person(s) at the time of the event(s) giving rise to the dispute. Accordingly, Claimant is a party.

Member surcharge	= \$1,700.00
Pre-hearing process fee	= \$ 750.00
<u>Hearing process fee</u>	<u>= \$2,750.00</u>
Total Member Fees	= \$5,200.00

Adjournment Fees

There were no requests for adjournments during these proceedings.

I, the undersigned arbitrator, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein and who executed this instrument, which is my award.

ARBITRATOR

Michael J. Stern

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Sole Public Arbitrator

Michael J. Stern

Signature Date

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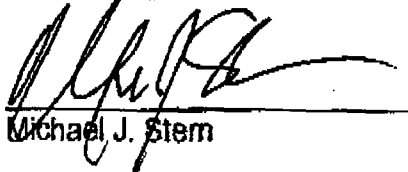
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ARBITRATOR

Michael J. Stern


Michael J. Stern

Sole Public Arbitrator

11/27/06

Signature Date