

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 06-00345

Renee Florin, (Claimant) vs. Costa Financial Securities, Inc., (Respondent)

ATTORNEYS:

For Claimant appeared Robert H. Rex, Esq., of the firm Dickenson, Murphy, Rex & Sloan, Boca Raton, FL.

For Respondent appeared in-house counsel Andrew Costa, Boca Raton, FL.

NATURE OF DISPUTE: Customer vs. Member

DATE FILED: January 23, 2006

CASE SUMMARY: Claimant alleged that Respondent breached its fiduciary duty, omitted and misrepresented material facts regarding investments and negligence. Claimant maintained that due to Respondent's actions, she suffered a financial loss. Claimant's claim involved various annuities.

Claim Data

Claim: \$25,000.00
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Award 06-00345

Anthony S. Paetro, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Anthony S. Paetro, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Anthony S. Paetro, Esq.

July 19, 2006
(Signature Date)

July 20, 2006
Date of Service (For NASD-DR office use only)