

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 06-00382

Chad Alexander Lipovich, (Claimant) vs. Ameriprise Financial Services, (Respondent)

ATTORNEYS:

Claimant appeared *pro se*, Battle Ground, WA.

For Respondent appeared Jeffrey H. Butwinick, Esq., Law Offices of Edgerton, Weaver & Irwin, L.L.C., Minneapolis, MN.

NATURE OF DISPUTE: Associated Person vs. Member

DATE FILED: January 20, 2006

CASE SUMMARY: Claimant alleged that Respondent failed to repay monies owed for compensation. Claimant maintained that due to Respondent's actions, he suffered a financial loss.

Claim Data

Claim: \$1,050.00
Filing Fees: \$75.00

Award Data

Award: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of the Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) NASD Dispute Resolution shall retain the \$75.00 filing fee that the Claimant deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

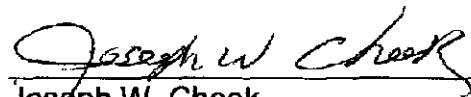
Page Two
Award 06-00382

Joseph W. Cheek

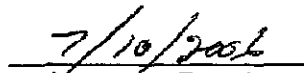
Sole Non-Public Arbitrator

AFFIRMATION

I, Joseph W. Cheek, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Joseph W. Cheek



(Signature Date)

July 18, 2006

Date of Service (For NASD-DR office use only)