

**Award
NASD Dispute Resolution**

In the Matter of the Arbitration Between:

Name of the Claimant
Scottrade, Inc.

Case Number: 06-02517

Name of the Respondent
Donald A. Bryant

Hearing Site: Little Rock, Arkansas

Nature of the Dispute: Member v. Customer.

REPRESENTATION OF PARTIES

For Scottrade, Inc., hereinafter referred to as "Claimant": Thomas L. Azar, Jr., Esq.,
Thompson Coburn, LLP, St. Louis, Missouri.

Donald A. Bryant, hereinafter referred to as "Respondent", did not appear.

CASE INFORMATION

Statement of Claim filed on or about: May 22, 2006.

Claimant signed the Uniform Submission Agreement: May 15, 2006.

Respondent did not file an executed Uniform Submission Agreement or submit an
Answer to the Statement of Claim.

CASE SUMMARY

Claimant asserted a claim for breach of contract. The cause of action relates to
Respondent's alleged breach of his Scottrade, Inc. Brokerage Account Agreement.

RELIEF REQUESTED

Claimant requested: 1) compensatory damages in the amount of \$17,146.89; 2) interest
at a rate of 10% per annum accrued from February 19, 2004; and, 3) other and further
relief as Respondent is entitled.

OTHER ISSUES CONSIDERED AND DECIDED

Respondent did not appear at the evidentiary hearing conducted on October 24, 2006.
Upon review of the file and the representation made by and on behalf of Claimant, the
undersigned arbitrator determined that Respondent Donald A. Bryant has been properly
served with the Statement of Claim and received due notice of the hearing, and that
arbitration of the matter would proceed without said Respondent present, in accordance
with the NASD Code of Arbitration Procedure (the "Code").

At the evidentiary hearing, Claimant made an ore tenus Motion to Amend its relief
request to include a request for attorneys' fees pursuant to the parties' contract. On or

about October 24, 2006, the Chairperson granted Claimant's Motion to Amended its relief request to include a request for attorneys' fees.

At the evidentiary hearing, Claimant made an ore tenus Motion for Default Judgment. On or about October 24, 2006, the Chairperson denied Claimant's Motion for Default Judgment.

AWARD

After considering the pleadings, the testimony and evidence presented at the hearing, the Chairperson has decided in full and final resolution of the issues submitted for determination as follows:

1. Respondent is liable for breach of contract and shall pay to Claimant compensatory damages in the amount of \$17,146.89.
2. Respondent is liable for Claimant's attorneys' fees pursuant to the parties' contractual agreement and shall pay to Claimant \$4,292.85.
3. Respondent is liable for interest at 10% per annum as set by the parties' contractual agreement and shall pay to Claimant \$4,575.61. Interest is calculated from February 20, 2004 through October 22, 2006.
4. All claims for relief not specifically addressed herein, are denied.

FEES

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee	= \$ 750.00
--------------------------	-------------

Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firm that employed the associated person at the time of the events giving rise to the dispute. Accordingly, Claimant is a party and a member firm.

Member surcharge	= \$ 425.00
------------------	-------------

Adjournment Fees

Adjournments granted during these proceedings for which fees were assessed:

There were no adjournment fees incurred during these proceedings.

Three-Day Cancellation Fees

Fees apply when a hearing on the merits is postponed or settled within three business days before the start of a scheduled hearing session:

There were no three-day cancellation fees assessed during these proceedings.

Injunctive Relief Fees

Injunctive relief fees are assessed to each member or associated person who files for a temporary injunction in court. Parties in these cases are also assessed arbitrator travel expenses and costs when an arbitrator is required to travel outside his or her hearing location and additional arbitrator honoraria for the hearing for permanent injunction. These fees, except the injunctive relief surcharge, are assessed equally against each party unless otherwise directed by the arbitrator.

There were no injunctive relief fees assessed during these proceedings.

Forum Fees and Assessments

The Arbitrator has assessed forum fees for each session conducted or each decision rendered on a discovery-related motion on the papers. A session is any meeting between the parties and the Arbitrator, including a pre-hearing conference with the Arbitrator that lasts four (4) hours or less. Fees associated with these proceedings are:

One (1) Pre-hearing session with Arbitrator @ \$450.00 per session	= \$ 450.00
Pre-hearing conference: September 13, 2006 1 session	

One (1) Hearing session @ \$450.00 per session	= \$ 450.00
Hearing Date: October 24, 2006 1 session	

Total Forum Fees	= \$ 900.00
------------------	-------------

The Arbitrator has assessed the total forum fees of \$900.00 the forum fees to Respondent.

Administrative Costs

Administrative costs are expenses incurred due to a request by a party for special services beyond the normal administrative services. These include, but not limited to, additional copies of arbitrator awards, copies of audio transcripts, retrieval of documents from archives, interpreters, and security.

There were no administrative costs incurred during these proceedings.

Fee Summary

Claimant is solely liable for:

Member Fees	= \$ 425.00
Initial Filing Fee	= \$ 750.00
Total Fees	= \$1,175.00
Less payments	= \$1,175.00
Balance Due NASD Dispute Resolution	= \$ 0.00

Respondent is solely liable for:

Forum Fees	= \$ 900.00
Total Fees	= \$ 900.00
Less payments	= \$ 0.00
Balance Due NASD Dispute Resolution	= \$ 900.00

All balances are payable to NASD Dispute Resolution and are due upon receipt pursuant to Rule 10330(g) of the Code.

ARBITRATOR

Elton W. Chartrand

Public Arbitrator, Presiding Chairperson

Concurring Arbitrators' Signatures

_____/s/
Elton W. Chartrand
Public Arbitrator, Presiding Chairperson

October 27, 2006
Signature Date

October 30, 2006
Date of Service (For NASD Dispute Resolution use only)

Respondent is solely liable for:

Forum Fees	= \$ 900.00
Total Fees	= \$ 900.00
Less payments	= \$ 0.00
Balance Due NASD Dispute Resolution	= \$ 900.00

All balances are payable to NASD Dispute Resolution and are due upon receipt pursuant to Rule 10330(g) of the Code.

ARBITRATOR

Elton W. Chartrand

Public Arbitrator, Presiding Chairperson

Concurring Arbitrators' Signatures

Frank Chantson

Elton W. Chartrand
Public Arbitrator, Presiding Chairperson

10-27-06
Signature Date

Date of Service (For NASD Dispute Resolution use only)