

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Charles Killian

Claimant(s)

vs.

Brever Capital Corp. & Steve  
Rozinski.

Respondent(s)

AWARD

87-00027

The Arbitrator, John W. Jerak, having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on December 12, 1986 and June 2, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that Respondent Steve Rozinski is liable and shall pay the sum of Two Thousand, One Hundred and Fifty Dollars (\$2,150,00) to the Claimant.;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$25.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant and assessed against Respondent Steve Kozinski.

5/23/89