

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Donald W. Fritz and
Kathleen A. Fritz

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

vs.

Keith Daubenspeck

Respondent(s)

NOTICE OF ARBITRATION
AWARD
87-00437

CASE SUMMARY

Claimant(s) Donald W. Fritz and Kathleen A. Fritz alleged that Respondent(s) Blinder, Robinson & Co., Inc. failed to execute Claimants' sell order. Respondent(s) denies Claimants' allegations, and brings a third party claim against Keith Daubenspeck for any liability arising out of this proceeding.

RELIEF REQUESTED

Claimant(s) Donald W. Fritz and Kathleen A. Fritz requested damages of Two Thousand Dollars and No Cents (\$2,000.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Alison K. Schuler, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 2, 1987 and by Respondent(s) on May 14, 1987. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The Respondent Blinder, Robinson & Co., Inc. shall be liable to the Claimants for the amount of Three Hundred Dollars and No Cents (\$300.00).

Respondent Keith Daubenspeck shall be liable on the third party claim to Blinder, Robinson & Co., Inc. for the amount of Three Hundred Dollars and No Cents (\$300.00).

The parties shall each bear their respective costs including attorney's fees.

August 18, 1989