

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

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the Matter of the Arbitration Between	)
David R. Helen et al	) Claimants
	)
vs.	) Case #87-01591
	)
Shearson American Express, Inc.	)
Dennis J. Drescher	)
Craig Corliss	)
	) Respondents
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On Thursday, March 30, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimants' on February 1, 1988, and by Respondents Shearson American Express on May 16, 1988 and Craig Corliss on March 30, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Claimants' requests for relief are denied and dismissed in their entirety.
2. Respondent Dennis J. Drescher was dismissed from the action, with prejudice, by stipulation of the parties.
3. Claimants' Rico Claim was withdrawn, with prejudice, at the beginning of the hearing.
4. The parties shall each bear their respective costs including attorneys' fees.
5. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$750.00 filing fee previously deposited by the Claimants.

/s/ \_\_\_\_\_  
Pamela J. Stephens, Esq.

/s/ \_\_\_\_\_  
Gerald Good

/s/ \_\_\_\_\_  
Stephen Kende

DATED:  
5/11/89