

NATIONAL ASSOCIATION OF SECURITIES DEALERS

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In the Matter of the Arbitration Between	:	
John V. DeMais	:	
Dorthy L. DeMais	:	
	:	Award
Claimants	:	87-01596
vs.	:	
Brooks Weinger Robbins & Leads, Inc.	:	
George Fairweather Securities	:	
vs.	:	
Denton & Co., Inc.	:	
Respondents	:	

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The undersigned, being the arbitrator selected to review and determine a matter in controversy between the above-mentioned Parties, set forth in a submission to Arbitration signed by the claimants on August 12, 1987, by Respondent Brooks, Weinger, Robbins, & Leeds Inc. on September 10, 1987, by Respondent George Fairweather Securities on October 8, 1987 and not signed by Respondent Denton & Co., Inc. and said Respondent having failed to submit an answer as required under Section 12(a) of the NASD Code of Arbitration Procedure;

And, that having reviewed and considered the proofs of the Parties, has decided and determined that in full and final settlement of the above-captioned matter:

1. The claim as against Brooks, Weinger, Robbins & Leeds, Inc. is hereby DENIED.

2. The claim as against George Fairweather Securities, Inc., is hereby DISMISSED WITHOUT PREJUDICE on the basis that this respondent has filed for protection under the Federal Bankruptcy Law.

3. The claimants shall recover of respondent Denton & Co., Inc., the sum of five Hundred Twenty-Five Dollars and Sixty-Five cents (\$525.65)

And that each party shall bear its own costs and expenses including attorneys fees;

And, that the \$15.00 filing fee previously deposited with the N.A.S.D., Inc. by Claimants shall be refunded to the claimants and assessed against Respondent Denton & Co., Inc.

S, S

Howard S. Eilen

Dated:  
12/18/89